

# Adversarial Machine Learning and Crashing Autonomous Vehicles

## Mapping the Legal Problems

Steven Van Uytsel – Faculty of Law  
Multidisciplinary Perspectives on Algorithms  
November 21-23, 2019



**KYUSHU UNIVERSITY**



<https://www.nbcnews.com/tech/innovation/self-driving-uber-car-involved-fatal-accident-arizona-n857941>

# Elaine Hertzberg



<https://www.bbc.com/news/magazine-10987606>

**Bridget Driscoll**



# Operator Liable

Human defined as operator

the one engaging the vehicle

the one sitting at the driver seat

the one that pushes the start  
button

the owner of the vehicle





# Human Takes Control

Each vehicle needs to have technology allowing for someone to take control

- need to have a driver to take control
- vehicle should have a break, accelerator, and steering wheel
- override switch



<https://www.reiffirm.com/whats-worse-sleeping-drivers-self-driving-cars/>



# Japanese Law on Compensation

## Japanese Act on Securing Compensation for Automobile Accidents

“a person that puts an **automobile into operational use** for that person’s own benefit is liable to compensate for damage arising from the operation of the automobile if this results in the death or bodily injury of another person”

Supreme Court on ‘**put into operation**’ – person who has control over and benefit from the vehicle

- owner driving herself

- owner employing a driver for herself

- owner employing a driver for business

- owner who allows someone to drive his vehicle (even a system)



**(1964 Ferrari GT/L)**

# What the Future Will Bring



<https://www.viatech.com/en/2019/08/a-new-passenger-experience-in-autonomous-vehicles/>

# Technology in Future Cars

## Sensors

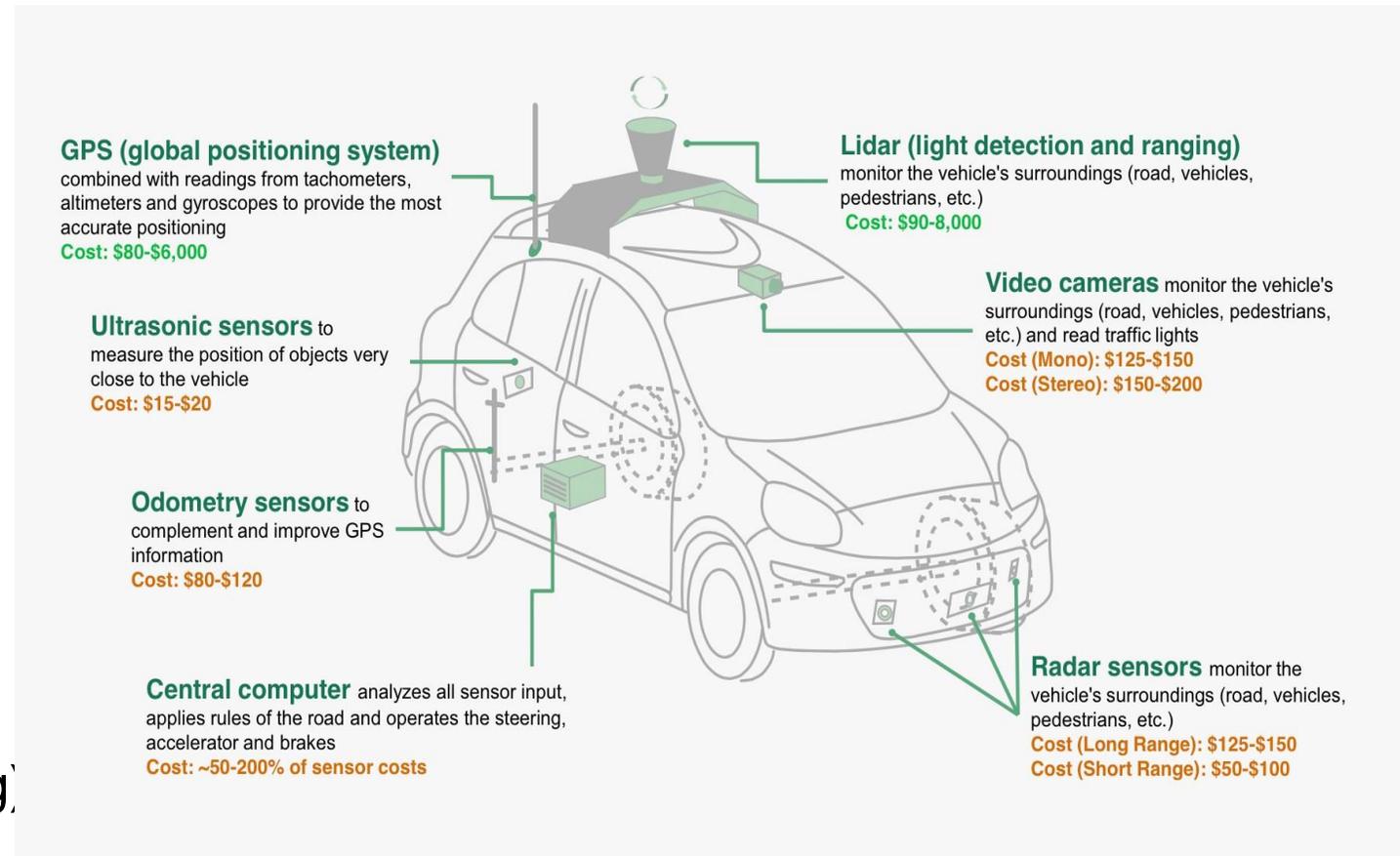
- Radar
- Lidar
- Video Camera
- Sonar

## Mapping/Location

- GPS
- Digital Maps / Lidar

## Software

- Object Recognition and Classification (machine learning)
- Planning Software
- Acting Software



<https://www.wired.com/2015/04/cost-of-sensors-autonomous-cars/>



# SAE J3016™ LEVELS OF DRIVING AUTOMATION

	SAE LEVEL 0	SAE LEVEL 1	SAE LEVEL 2	SAE LEVEL 3	SAE LEVEL 4	SAE LEVEL 5
What does the human in the driver's seat have to do?	You are driving whenever these driver support features are engaged – even if your feet are off the pedals and you are not steering			You are <u>not</u> driving when these automated driving features are engaged – even if you are seated in “the driver’s seat”		
	You must constantly supervise these support features; you must steer, brake or accelerate as needed to maintain safety			When the feature requests, you must drive	These automated driving features will not require you to take over driving	
What do these features do?	These are driver support features			These are automated driving features		
	These features are limited to providing warnings and momentary assistance	These features provide steering OR brake/acceleration support to the driver	These features provide steering AND brake/acceleration support to the driver	These features can drive the vehicle under limited conditions and will not operate unless all required conditions are met	This feature can drive the vehicle under all conditions	
Example Features	<ul style="list-style-type: none"> <li>• automatic emergency braking</li> <li>• blind spot warning</li> <li>• lane departure warning</li> </ul>	<ul style="list-style-type: none"> <li>• lane centering OR</li> <li>• adaptive cruise control</li> </ul>	<ul style="list-style-type: none"> <li>• lane centering AND</li> <li>• adaptive cruise control at the same time</li> </ul>	<ul style="list-style-type: none"> <li>• traffic jam chauffeur</li> </ul>	<ul style="list-style-type: none"> <li>• local driverless taxi</li> <li>• pedals/steering wheel may or may not be installed</li> </ul>	<ul style="list-style-type: none"> <li>• same as level 4, but feature can drive everywhere in all conditions</li> </ul>



# Humans and Accidents with Autonomous Vehicles

Accidents may occur – compensation is required (limit to civil liability – payment of compensation)

\* human negligence

- other participants in traffic (sudden movements)
- vehicle outside the Operational Design Domain (driving in bad weather, no cleaning sensors, ...)
- failure to update software

\* mechanical breakdown of the vehicle

But what with the failure of the artificial intelligence (AI)

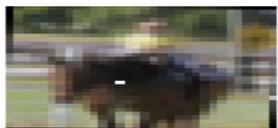


# Adversarial Machine Learning

## AllConv



SHIP  
CAR(99.7%)



HORSE  
DOG(70.7%)



CAR  
AIRPLANE(82.4%)



DEER  
AIRPLANE(49.8%)



HORSE  
DOG(88.0%)

## NiN



HORSE  
FROG(99.9%)



DOG  
CAT(75.5%)



DEER  
DOG(86.4%)



BIRD  
FROG(88.8%)



SHIP  
AIRPLANE(62.7%)

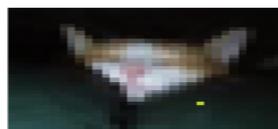
## VGG



DEER  
AIRPLANE(85.3%)



BIRD  
FROG(86.5%)



CAT  
BIRD(66.2%)



SHIP  
AIRPLANE(88.2%)



CAT  
DOG(78.2%)

**Adversarial** examples are inputs to **machine learning** models that an attacker has intentionally designed to cause the model to make a mistake

Su, J., Vargas, D. V., & Kouichi, S. (2017). One pixel attack for fooling deep neural networks. arXiv preprint arXiv:1710.08864.

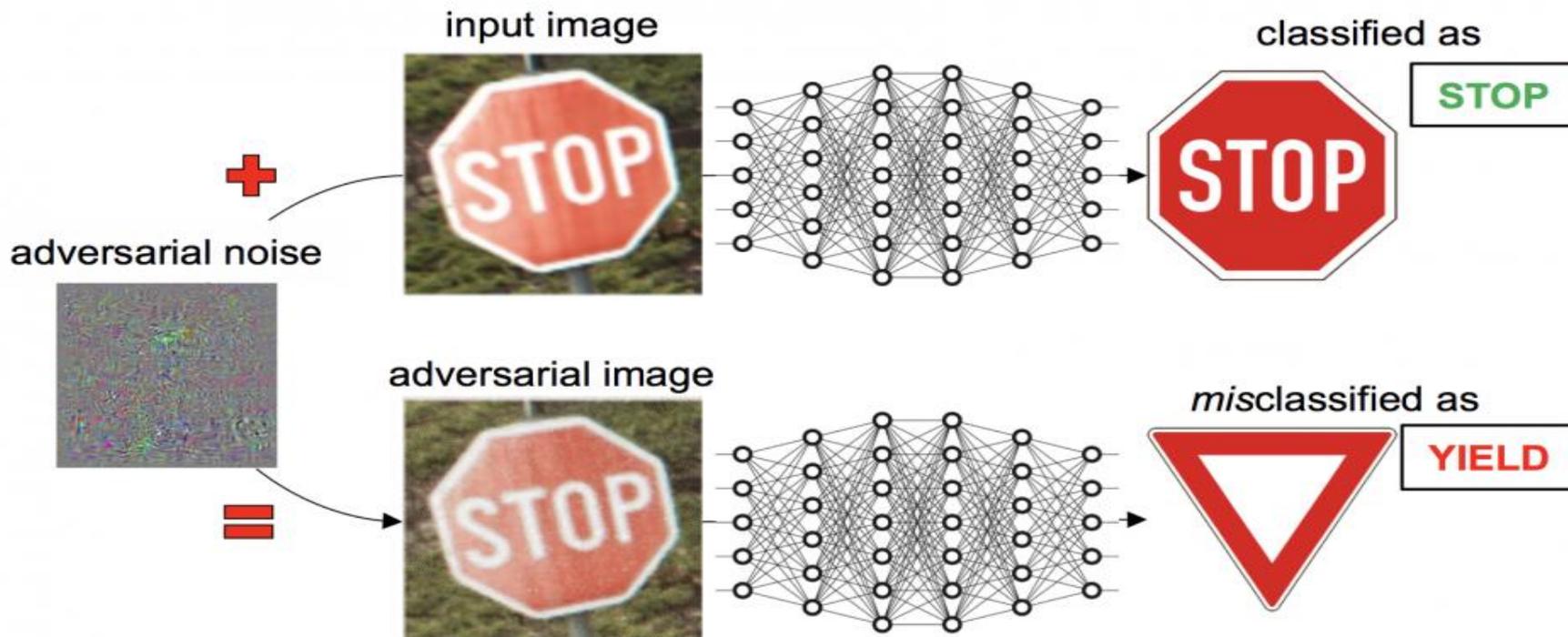




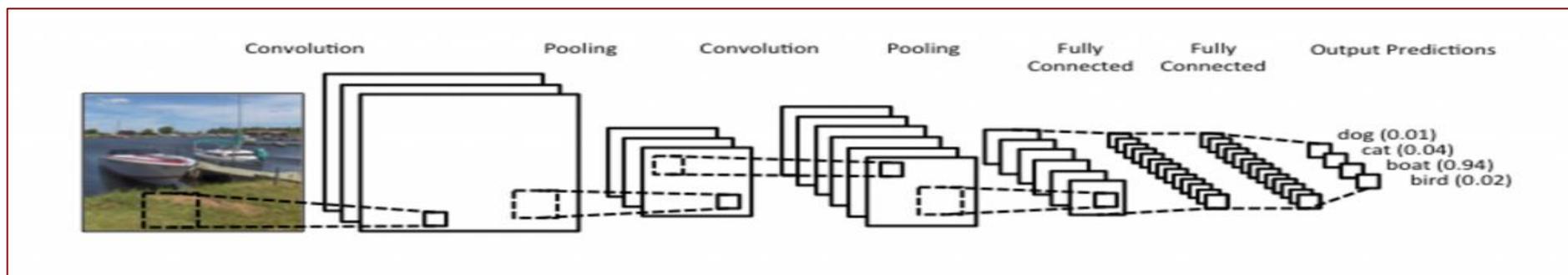


speedlimit 0.947

STOP



<https://blog.csiro.au/vaccinating-machine-learning-against-attacks/>



<http://www.wildml.com/2015/11/understanding-convolutional-neural-networks-for-nlp/>



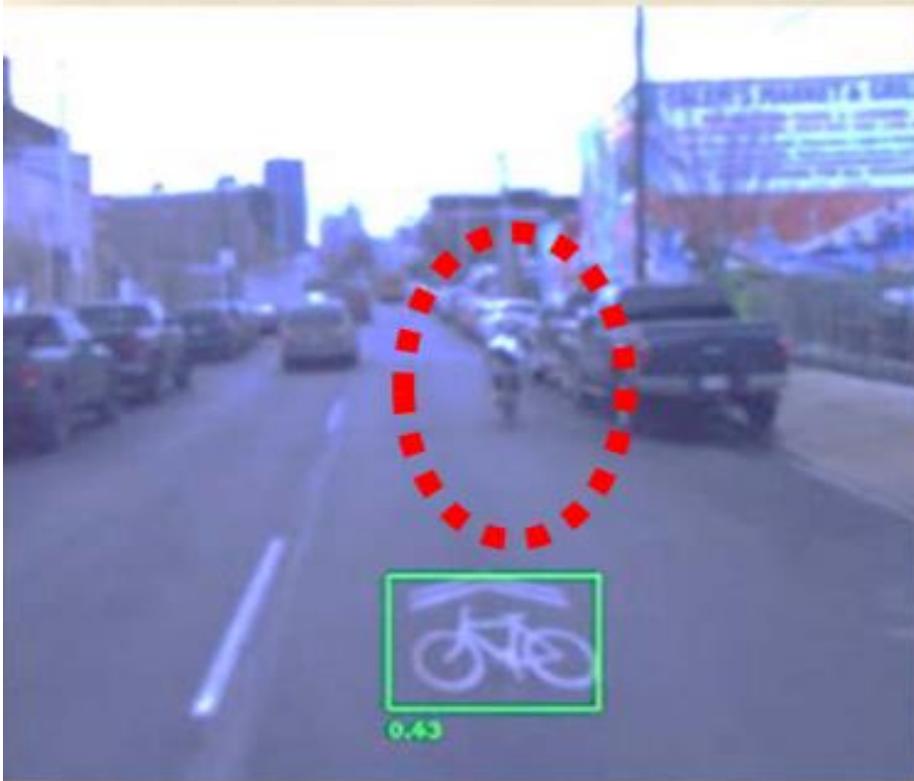
Fig. 2. When the vehicle hits a water puddle, the images captured by the camera will be tilted. As a result, the RGB-based object detector may misclassify objects in scenes.



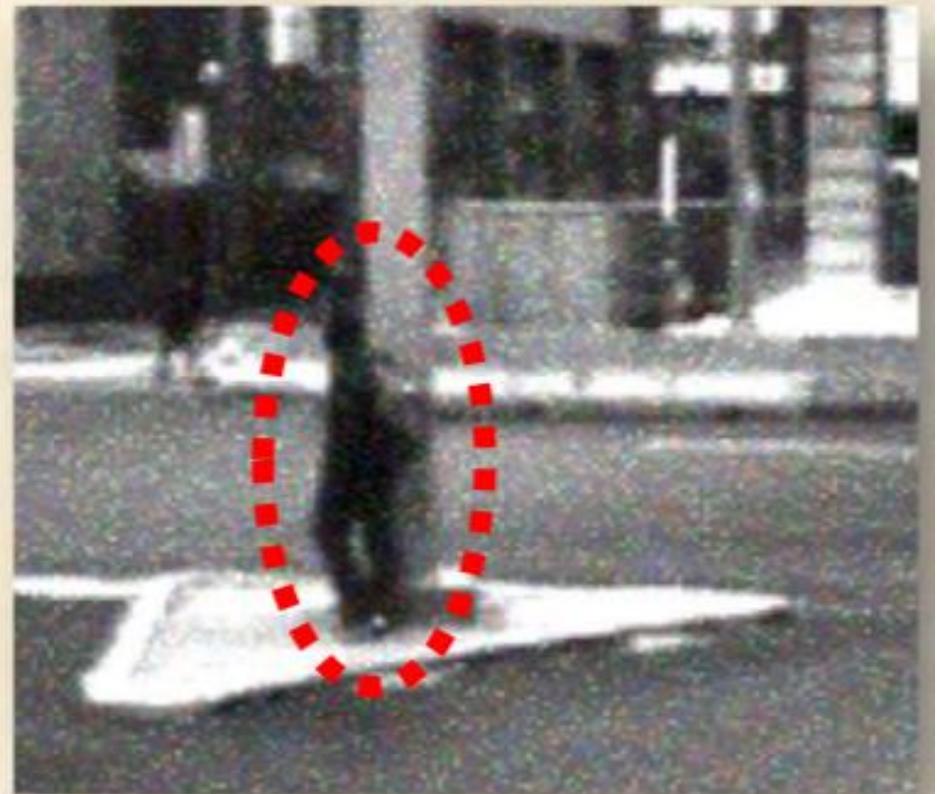
# Moving Away from Adversarial Machine Learning towards the Edge Cases

Cases You don't Think Of

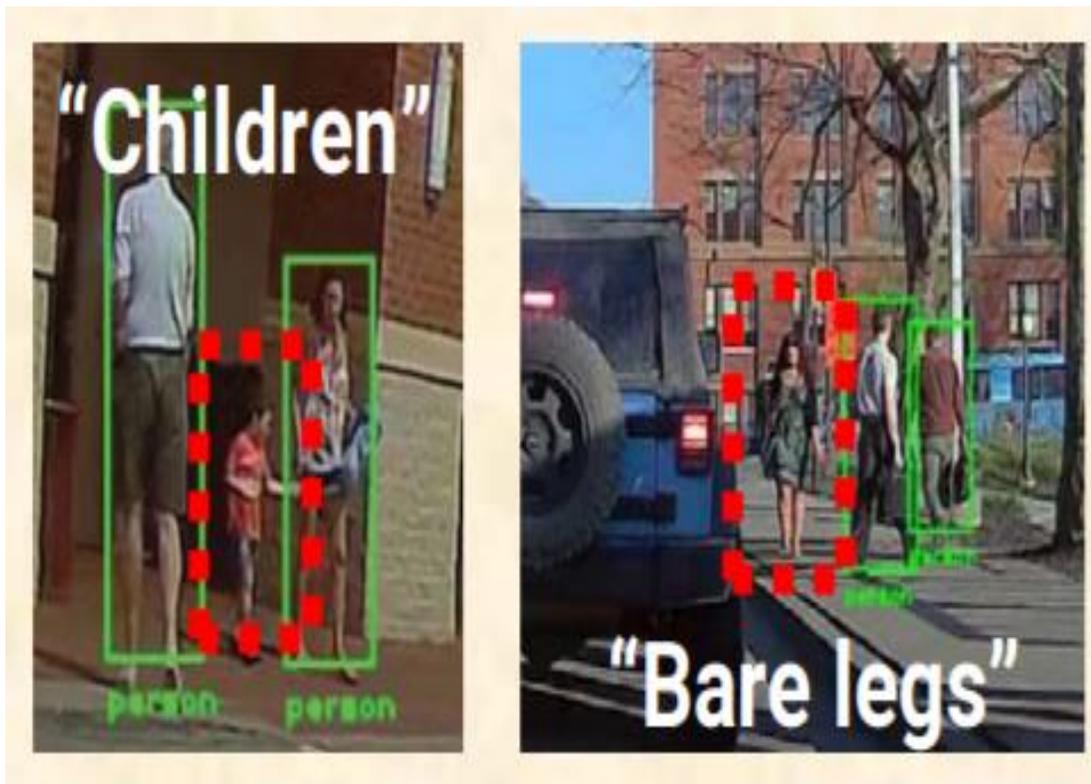
Machine Learning Can have Edges a Human Does  
Not Expect

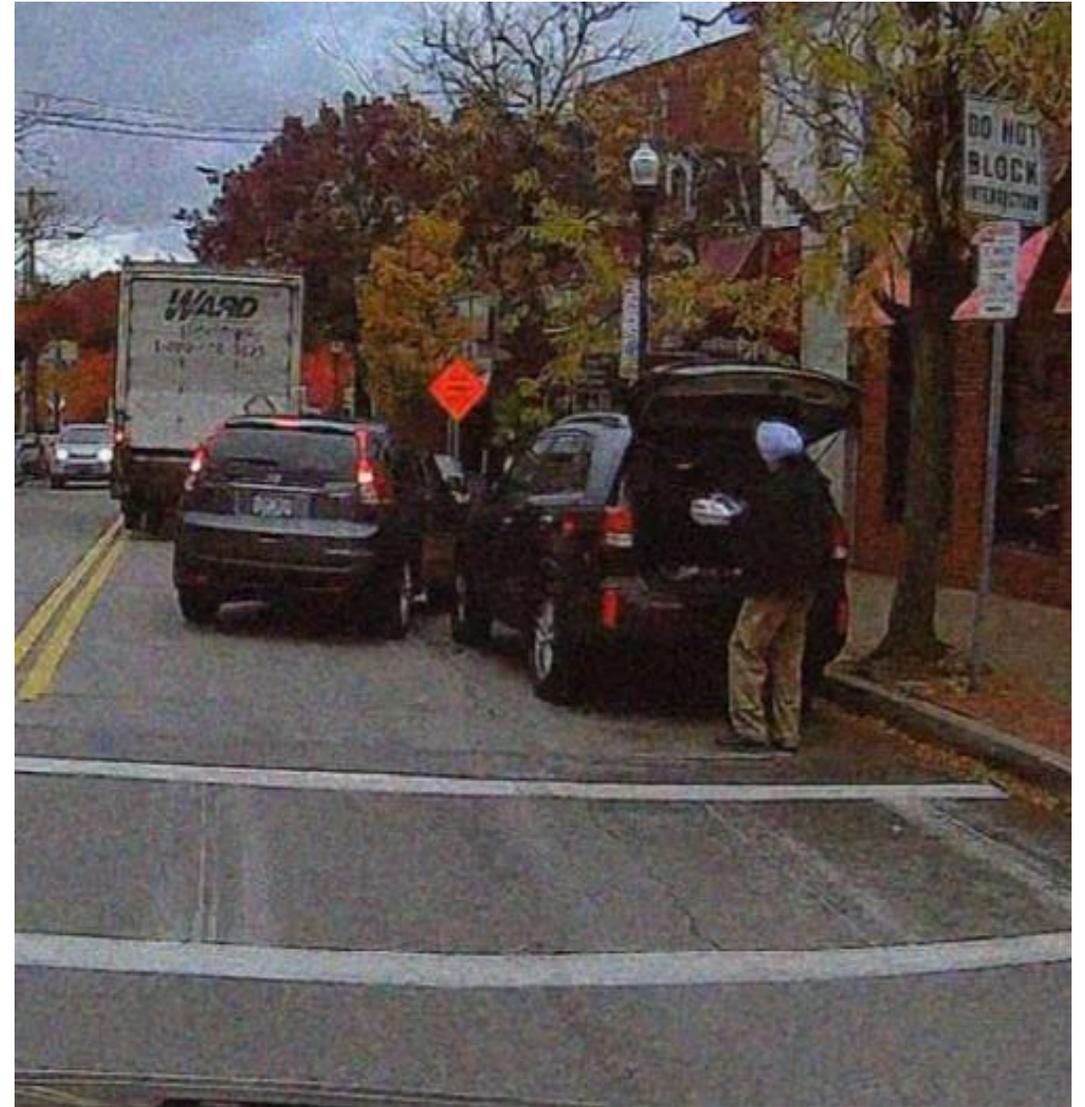


False positive on lane marking  
False negative real bicyclist



False negative when  
person next to light pole







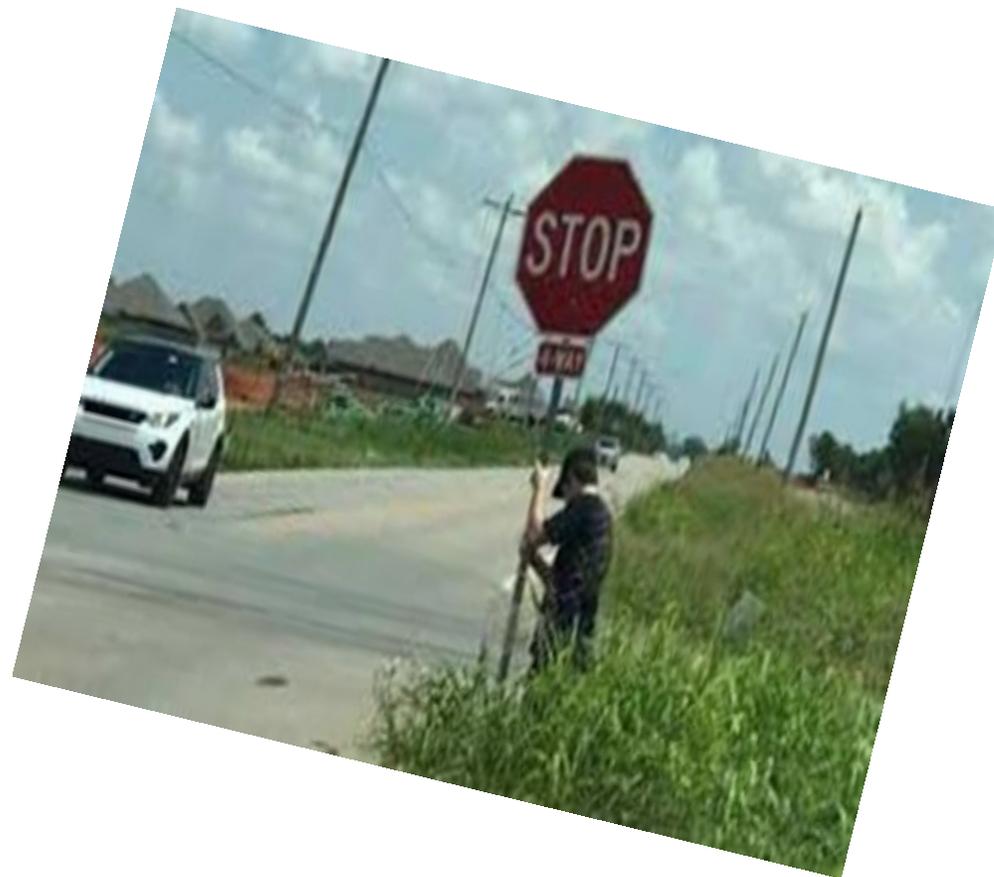
# Response of Engineers

Clear definition of the **operational design domain** + progressive testing

## **Safety by design:**

Advocating for connectivity

Relying on other instruments than only camera







# A Human Operator or the Artificial Intelligence?

**Is it fair to look at the 'human' operator to request compensation?**

**SAE level 3 vehicle – human drive when  
request to regain control**

**SAE level 4 or above vehicle - human has no  
role to play**



## If No, What are the Alternatives?

### Product Liability Law

questions: 1) is AI a product? 2) When is AI defective?

problems: lengthy trials?

### Negligence

questions: 1) what is the standard of care?

problem: lengthy trial?

### No-fault Liability

questions re: organization: 1) insurer, 2) insurance taker

problem: willingness of the insurance sector to change



# Additional Reasons for Reconsidering Liability Rules

Automated driving and vehicle ownership

- \*shift from individual ownership to fleet ownership

- \*fleet owner as operator – liable for compensation (maybe fair)

  - => Market access prohibitively high

Infrastructure enabled automotive driving

- increase of actors, products and services

  - => complex web of potential defendants for a victim of a traffic accident to sue (negligence or defect)



## In conclusion

Need to rethink the liability rules for autonomous vehicles

\*think of a victim that has to receive a smooth compensation

\*think of deter OEMs from releasing faulty products

This may be even more necessary

1) if we move to changed ownership structures?

2) if we move to infrastructure enabled autonomy?



# Factors Complicating Product Liability Law: Product

Is AI a product?

unsettled issue – no case law or legislation

tendency in the literature to treat AI as a product

Fumio Shimpo, Member Cabinet Office Advisory Board on AI

“for an example of the current legal dilemma, I will refer the reader to an accident involving a robot which was caused by inaccurate information or software defect malfunction. At present, the questioning of the product liability of the information itself, which was the main cause of the accident, is **outside the range of the current Japanese Product Liability Act.**”

=> Revert back to negligence: victim has to pinpoint the exact fault in the system



# Conceptualization of a No-Fault Scheme

## Different formats

**insurance taker** – possessor of vehicle, manufacturer, both, or any other person that may be justifiable in the future, etc.

**insurer** – state, third-party private insurance company, car manufacturer, etc.

## Adjust the format according to the aims

**compensation** – easy compensation to victims

**prevention of accidents** – make manufacturers contribute to the fund

tort law remains option if not choose for the fund



# Moving in the Direction of No-Fault Compensation

## Volvo CEO

publicly indicated that they want to take responsibility for accidents with their self-driving vehicles

## Internalization of the costs of the accidents

manufacturers prevent negative publicity

manufacturers prevent unpredictable court decisions

(partly selfish – if a victim is not able to get compensation – trust in autonomous vehicles will disappear)



The concept of “driver” under the Road Traffic Act now includes an “**Autonomous Driving Device**” – a system that takes the place of recognition, prediction, judgment and operation of humans entirely.

Vehicles must be fitted with a **data recorder** (an Operating Condition Recorder), which may be required by the police in the event of an accident.

Drivers may only use Level 3 features when their **vehicles satisfy the operational design domain set by the manufacturer**.

Drivers are not permitted to sleep, consume alcohol or to sit in the back seat, but may **use a mobile phone, read a book, eat or watch an in-built entertainment system**, provided that they are ready to immediately take back control of the vehicle.

Approvals will be required through a permit system for **wireless updates to self-driving** programs, aimed at preventing the risk of cybersecurity breaches.

Manufacturers will be required to provide technical information to facilitate inspections of autonomous driving equipment, and special certification will be required for autonomous vehicle maintenance providers to carry out operations.